

1 Article

2 A Class of Control Strategies for Energy Internet 3 Considering System Robustness and Operation Cost 4 Optimization

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9 **Abstract:** Aiming at restructuring the conventional energy delivery infrastructure, the concept of
10 energy Internet (EI) has been popular in recent years. Outstanding benefits from an EI include
11 openness, robustness and reliability. Most of the existing literatures focus on the conceptual design
12 of EI, lack of theoretical investigation on developing specific control strategies for the operation of
13 EI. In this paper, a class of control strategies for EI considering system robustness and operation cost
14 optimization is investigated. Focusing on the EI system robustness issue, system parameter
15 uncertainty, external disturbance and tracking error are taken into consideration, and we formulate
16 such robust control issue as a structure specified mixed H_2/H_∞ control problem. When formulating
17 the operation cost optimization problem, three aspects are considered: realizing the bottom-up
18 energy management principle, reducing the purchasing cost of electricity from power grid (PG),
19 and avoiding the situation of over-control. We highlight that this is the very first time that the above
20 targets are considered simultaneously in the field of EI. The integrated control issue is considered
21 in frequency domain and is solved by particle swarm optimization (PSO) algorithm. Simulation
22 results show that our proposed method achieves the integrated control targets.

23 **Keywords:** energy Internet; microgrids; mixed H_2/H_∞ control; optimal control; robust control;

24

25 1. Introduction

26 Over the last few decades, global warming, energy crisis and ecological issues have promoted
27 the research of renewable power generation and distributed energy networks [1,2]. For the
28 integration of a variety of distributed energy resources (DERs), microgrids (MGs) play an important
29 role [3,4]. In MGs, the produced power by renewable energy sources (RESs) including photovoltaic
30 (PV) units and wind turbine generators (WTGs) has disadvantages such as low inertia, uncertainty,
31 and dynamic complexity [5,6]. Besides, the output power of electrical loads depends on residents'
32 power usage customs and varies stochastically [7]. In MGs, to alleviate power imbalance, and to
33 regulate voltage/frequency oscillation, the control of MGs is a subject of both practical and theoretical
34 importance [8].

35 Following the principle of smart grid specializing in informatization and intellectualization of
36 the existing power systems [9], and to solve the aforementioned challenges within the scenario of
37 multiple interconnected MGs, the new concept of energy Internet (EI) is proposed [10] and is
38 considered to be an upgraded version of smart grid [11]. Inspired by cores of Internet, the EI solves
39 energy related problems by integrating bi-directional flows of information and power [12]. In an EI
40 scenario, multiple MGs are interconnected via energy routers (ERs) [13] which are also known as
41 energy hubs [14], or power routers [15]. Different from the top-down mode in the existing power
42 systems, bottom-up is a fundamental energy management principle in EI [11]. To achieve such target,
43 each individual MG in EI shall be able to regulate the power deviation with its local energy storage,

44 generation and consumption devices with priority. If power balance in any MG is hard to be achieved
45 autonomously, other MGs can send/absorb electrical energy to/from it via ERs, helping achieve its
46 local power balance [11]. Significant benefits from an EI include openness, robustness and reliability
47 [12].

48 In the field of EI, when multiple MGs are interconnected, the related energy management and
49 control issues are more complicated than the ones in MGs. Optimal control problems regarding
50 energy management have been popular. For example, coordinated optimal control algorithm for
51 smart distribution management system in multiple MGs is investigated in [16]. Applying multi-
52 objective stochastic optimization approach to solve the optimal energy management issues in MGs
53 has been reported in [17]. To achieve an optimized operation of an off-grid MG, nonlinear droop
54 relations are implemented [18]. In [19], optimal control strategy for MG under both off-grid and grid-
55 connected mode has been studied. Distributed control and optimization in DC MGs is investigated
56 in [20].

57 On the other hand, robust control problems in the field of MG and EI has received much
58 attention in the past few years. For instance, in [21], both H_∞ and μ -synthesis approaches are utilized
59 to regulate AC bus frequency deviations in an off-grid MG. The stochastic H_∞ control theory is
60 applied to solve the coordinated frequency control problem within an EI scenario in [22]. In [23], the
61 issue of voltage control in an EI scenario is formulated as a non-fragile robust H_∞ control problem
62 regarding an uncertain stochastic nonlinear system, and it is solved via linear matrix inequality
63 approach. Robust H_∞ load frequency control in hybrid distributed generation system has been
64 studied in [24].

65 When both optimal and robust control problems are considered simultaneously, the application
66 of mixed H_2/H_∞ control theory for MGs has attracted much attention, and significant advances on
67 this topic have been made. For an islanded AC MG, the problems of operation cost optimization and
68 frequency regulation are formulated as a mixed H_2/H_∞ control problem in deterministic and
69 stochastic systems in [25] and [26], respectively. It has been shown that the fixed structure mixed
70 H_2/H_∞ control technique can be used to obtain a coordinated vehicle-to-grid control and frequency
71 controller for robust load-frequency control (LFC) in a smart grid [27]. A robust mixed H_2/H_∞ based
72 LFC of a deregulated power system including superconducting magnetic energy storage has been
73 proposed in [28]. For other works regarding the application of mixed H_2/H_∞ control into MGs,
74 readers can refer to [29,30], etc. It is notable that although mixed H_2/H_∞ control technique has been
75 widely used in conventional power systems, there has been few working applying such control
76 schemes into the field of EI.

77 When multiple MGs are interconnected via ERs, no matter they are grid-connected or not, there
78 are a variety of optimal and robust control problems worth considering. In this paper, we are
79 concerned with the problems of controller design for EI considering system robustness and operation
80 cost optimization. A series-shaped EI is studied in this article. Within the considered EI scenario,
81 three MGs are interconnected successively and one MG has access to the main power grid (PG). In
82 MGs, we assume that there exist the following elements: PV units, WTGs, fuel cells (FCs), hydrogen
83 tanks (HTs), electrolyzer (ES), micro-turbines (MTs), heat pump (HPs), plug-in hybrid electric vehicle
84 (PHEVs), diesel engine generators (DEGs), battery energy storage (BES) devices, flywheel energy
85 storage (FES) devices, ERs and normal loads. The system of EI is formulated via frequency domain
86 approach. When the above robust and optimal control targets are considered simultaneously,
87 proportional integral (PI) controllers are utilized in ESs, MTs, HPs, PHEV, DEGs, and the
88 transmission line between MG_1 and MG_2 and the transmission line between MG_2 and MG_3 . Then,
89 we solve such control problem via particle swarm optimization (PSO) algorithm [31]. Next,
90 simulations demonstrate the usefulness and effectiveness of our proposed controller.

91 The importance and contribution of this work can be outlined as follows. As is mentioned above,
92 some existing works only investigate either robustness or operation cost optimization of the EI
93 systems. In this paper, this is the very first time that both two aspects are considered *simultaneously*
94 in the field of EI, rather than in conventional energy systems. Our work can be viewed as an extension
95 as well as a generalized version for the ones focusing on single islanded or grid-connected MG.

96 Compare this paper with some existing ones adopting time domain approach (e.g., [7,22,23,25,26]),
 97 our work formulated in frequency domain has the advantage that it is convenient to be implemented
 98 in actual dynamic situations. With the proposed controller, the following targets are achieved
 99 *simultaneously*. 1) The system robustness against parameter uncertainty and external disturbance is
 100 achieved. 2) The tracking error is controller to a relative low level. 3) The bottom-up energy
 101 management principle is achieved, such that an autonomous power balance in each MG is achieved
 102 with priority. 4) The effect of electricity market price is considered, and the purchasing cost of
 103 electricity from PG is restricted. 5) The controllable devices in MGs is utilized rationally, and the
 104 situation of over-control is avoided. 6) Considering different preferences of the system manager, the
 105 importance of each control target can be adjusted by changing the size of its corresponding weighting
 106 coefficient. 7) In simulation results, it is shown that the proposed controller performs better than the
 107 conventional ones do. It is highlighted that our work is of both theoretical and practical importance.

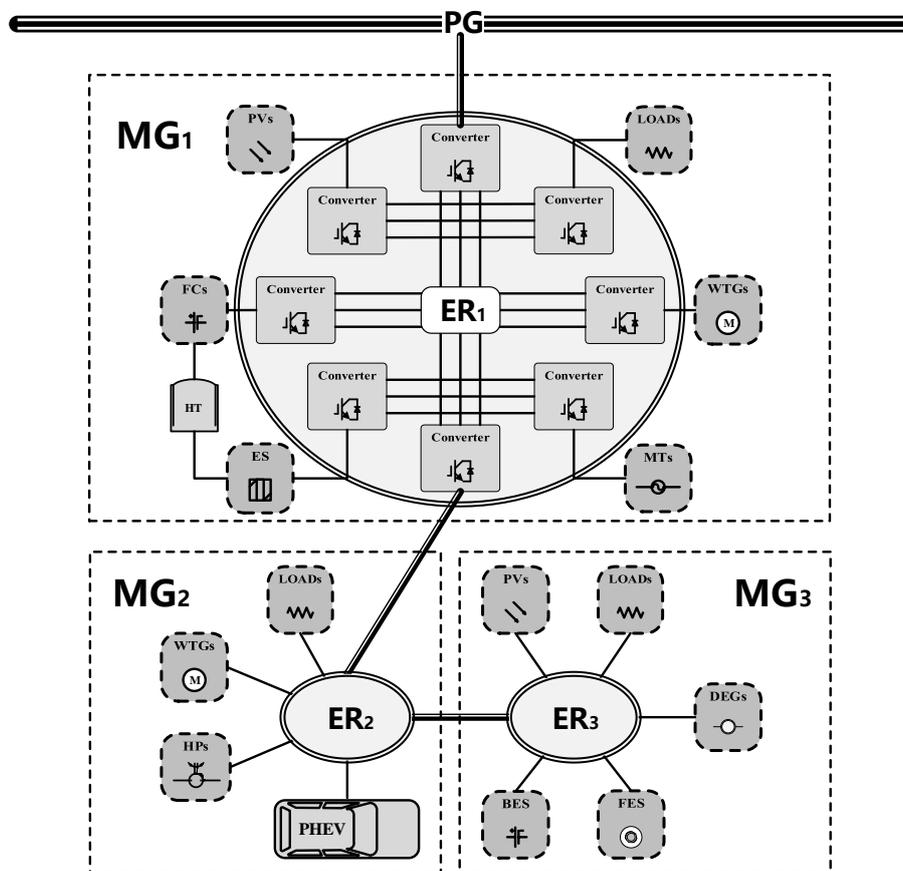
108 The rest of the paper is organized as follows: Section 2 introduces the system modelling. The
 109 control problem is formulated and solved in Section 3. Section 4 presents some simulations. Finally,
 110 some conclusions are drawn in Section 5.

111 2. System Modelling

112 In this section, we focus on a series-shaped EI system with three ERs. Every component of the
 113 system is modeled with first order transfer function in frequency domain. Then, an explicit
 114 mathematical control system is obtained.

115 2.1. The Scenario of an EI

116 A series-shaped EI is studied in this article. MG_1 , MG_2 and MG_3 are connected successively.
 117 Besides, we assume that MG_1 is connected to PG. All the ERs are designed to be based on AC bus
 118 lines. Figure 1 shows the topology of the studied EI system.



119

120

Figure 1. The studied series-shaped EI system.

121 In MG_1 , PV units, WTGs, loads, FCs, MTs, HTs and ESs are connected to ER_1 via converters.
 122 The main power supply in MG_1 is assumed to rely on power output by PV units and WTGs. If the
 123 power generation by PV units and WTGs is not enough for power consumption in MG_1 , highly
 124 controllable power generators such as MTs and FCs are utilized to fill the power supply-demand gap.
 125 Whenever there exists superfluous energy in MG_1 , ESs are used to convert electric energy into
 126 hydrogen which is stored in HTs. Hydrogen can be used to generate power by FCs. Normal loads
 127 such as housings or factories have access to ER_1 , as well. Besides, MG_1 is designed to have
 128 connection to PG and ER_2 .

129 MG_2 is designed to have access to different components in MG_1 , except for the requisite local
 130 loads. WTGs are utilized as the major power generators in MG_2 . We assume that in residential
 131 communities and cluster charging stations, large amounts of highly controllable HPs and PHEVs are
 132 connected to ER_2 . When the power generation is larger than consumption in MG_2 , the access of HPs
 133 and PHEVs shall be able to ensure the power balance of MG_2 . Whenever MG_2 is lack of electricity,
 134 power can be transmitted from MG_1 and MG_3 via ER_1 and ER_2 , respectively.

135 We assume that MG_3 is only connected with MG_2 and these two MGs are far away from each
 136 other. Thus, the dynamic response of power transmission line is slower than that of local devices in
 137 MG_3 . Assuming that MG_3 is sensitive to power deviation, responsive energy storage devices such as
 138 BES and FES are essential to keep its power balance. Besides, another kind of highly controllable
 139 power generators, DEGs, have connection with MG_3 . PV units and loads are also included in MG_3 .

140 2.2. Linearized Block Diagram

141 First, let us introduce the following notations. The frequency deviations of MG_1 , MG_2 and MG_3
 142 are denoted as Δf_1 , Δf_2 and Δf_3 , respectively. The power deviations of AC buses in MG_1 , MG_2 and
 143 MG_3 are denoted as ΔP_1 , ΔP_2 and ΔP_3 , respectively. Output power of PVs, WTGs, FCs and MTs in
 144 MG_1 are denoted as P_{PV1} , P_{WTG1} , P_{FC} and P_{MT} , respectively. Output power of WTGs in MG_2 and
 145 PVs in MG_3 are denoted as P_{WTG2} and P_{PV3} , respectively. Power consumption of loads in MG_1 , MG_2
 146 and MG_3 are denoted as P_{LOAD1} , P_{LOAD2} and P_{LOAD3} , respectively. The output power of ESs, FCs,
 147 MTs, HPs, PHEVs and DEGs are denoted as P_{ES} , P_{FC} , P_{MT} , P_{HP} , P_{PHEV} and P_{DEG} , respectively.
 148 Exchange power of BES and FES devices are denoted as P_{BES} and P_{FES} , respectively. P_{PG} , P_{ER12} and
 149 P_{ER23} represent the power transmission between PG and MG_1 , between MG_1 and MG_2 and
 150 between MG_2 and MG_3 , respectively.

151 Power balance equation of MG_1 , MG_2 and MG_3 can be expressed in (1), (2) and (3), respectively:

$$152 \quad \Delta P_1 = P_{PV1} + P_{WTG1} + P_{FC} + P_{MT} + P_{PG} - (P_{ES} + P_{ER12} + P_{LOAD1}), \quad (1)$$

$$153 \quad \Delta P_2 = P_{WTG2} + P_{ER12} - (P_{PHEV} + P_{HP} + P_{ER23} + P_{LOAD2}), \quad (2)$$

$$154 \quad \Delta P_3 = P_{PV3} + P_{DEG} + P_{ER23} - P_{LOAD3} \pm (P_{BES} + P_{FES}). \quad (3)$$

155 The change of P_{FC} , P_{MT} and P_{ES} are denoted as ΔP_{FC} , ΔP_{MT} and ΔP_{ES} , respectively. The gain
 156 of ESs, FCs, MTs, HPs and PHEVs are denoted as K_{ES} , K_{FC} , K_{MT} , K_{HP} and K_{PHEV} , respectively. The
 157 time constants of ESs, FCs, HPs, PHEVs, BES devices, FES devices and DEGs are denoted as T_{ES} , T_{FC} ,
 158 T_{HP} , T_{PHEV} , T_{BES} , T_{FES} and T_{DEG} , respectively. ΔP_{ESC} , ΔP_{MTC} , U_{HP} , U_{PHEV} and U_{DEG} stand for the
 159 control outputs of ESs, MTs, HPs, PHEVs and DEGs. Damping coefficients and inertia constants in
 160 MG_1 , MG_2 and MG_3 are denoted as are denoted as D_1 and M_1 , D_2 and M_2 , D_3 and M_3 ,
 161 respectively. K_{MTC} , K_{ESC} , K_{HPC} , K_{PHEVC} and K_{DEGC} represent for the PI controllers of MTs, ESs, HPs,
 162 PHEVs and DEGs, respectively. Moreover, T_{ER12} , U_{ER12} and K_{ER12C} stand for time constant, control
 163 output and PI controller of transmission line between MG_1 and MG_2 , while T_{ER23} , U_{ER23} and
 164 K_{ER23C} stand for time constant, control output and PI controller of transmission line between MG_2
 165 and MG_3 . The values of b_{ER12} and b_{ER23} depend on real engineering scenarios and can be measured
 166 by parameter estimation methods [32].

167 In this paper, ΔP_{ES} and ΔP_{FC} are approximated by a first order transfer function [33], as is
 168 shown in (4) and (5):

$$169 \quad \Delta P_{ES} = \frac{K_{ES}}{1 + T_{ES}S} \Delta f_1, \quad (4)$$

$$170 \quad \Delta P_{FC} = \frac{K_{FC}}{1 + T_{FC}S} \Delta f_1. \quad (5)$$

171 Considering the linear power versus frequency droop characteristics, ΔP_{MT} is obtained by (6):

$$172 \quad \Delta P_{MT} = -\frac{1}{K_{MT}} \Delta f_1. \quad (6)$$

173 Relative phase angle [rad] between PG and ER₁ is obtained by (7):

$$174 \quad \theta = 2\pi f_0 \int \Delta f_1 dt. \quad (7)$$

175 Let us denote θ as the relative phase angle and X_{PG} as line reactance. Consequently, P_{PG} is given
176 by (8):

$$177 \quad P_{PG} = \frac{\sin\theta}{X_{PG}}. \quad (8)$$

178 Based on previous studies [23,34], P_{HP} , P_{PHEV} and P_{ER12} are obtained by the following equations:

$$179 \quad P_{HP} = \frac{K_{HP}}{1 + T_{HP}S} K_{HPC} \Delta f_2, \quad (9)$$

$$180 \quad P_{PHEV} = \frac{K_{PHEV}}{1 + T_{PHEV}S} K_{PHEVC} \Delta f_2, \quad (10)$$

$$181 \quad P_{ER12} = \frac{b_{ER12}}{1 + T_{ER12}S} K_{ER12C} \Delta f_2. \quad (11)$$

182 We assume that BES and FES devices are equipped with internal controllers and respond to the AC
183 bus frequency deviation [21]. P_{BES} and P_{FES} can be obtained by:

$$184 \quad P_{BES} = \frac{1}{1 + T_{BES}S} \Delta f_3, \quad (12)$$

$$185 \quad P_{FES} = \frac{1}{1 + T_{FES}S} \Delta f_3. \quad (13)$$

186 P_{DEG} and P_{ER23} are obtained by equations (14) and (15):

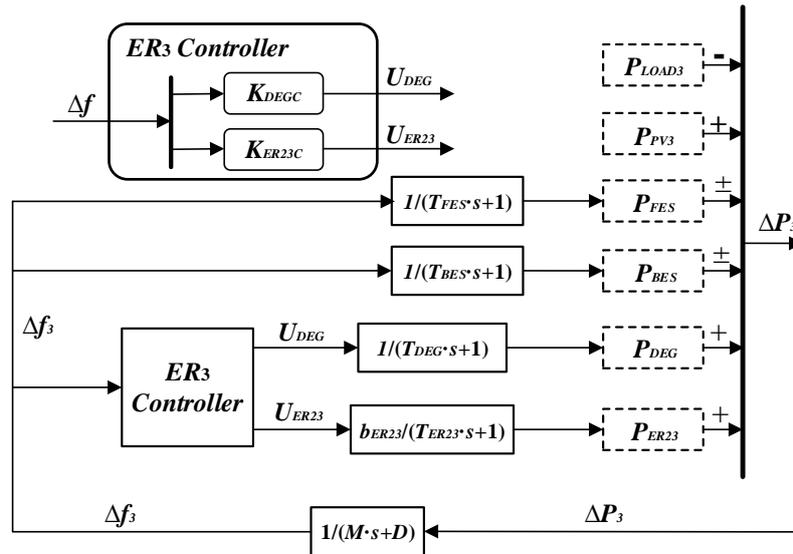
$$187 \quad P_{DEG} = \frac{1}{1 + T_{DEG}S} K_{DEGC} \Delta f_3, \quad (14)$$

$$188 \quad P_{ER12} = \frac{b_{ER23}}{1 + T_{ER23}S} K_{ER23C} \Delta f_3. \quad (15)$$

189 Rapid or oversized power deviation may lead to instability of the AC bus frequency oscillation
190 in MGs. With desired control strategies in MG₁, MG₂ and MG₃, power balance in these MGs can be
191 achieved, and instability of Δf_1 , Δf_2 and Δf_3 can be avoided. In this paper, PI controllers are
192 utilized on ESs, MTs, HPs, PHEV, DEGs, and the transmission line between MG₁ and MG₂ and the
193 transmission line between MG₂ and MG₃. Then, we have:

$$194 \quad \begin{cases} \Delta P_{ESC} = K_{ESC}(s) \cdot P_{PG}, \\ \Delta P_{MTC} = K_{MTC}(s) \cdot P_{PG}, \\ U_{ER12} = K_{ER12C}(s) \cdot \Delta f_2, \\ U_{HP} = K_{HPC}(s) \cdot \Delta f_2, \\ U_{PHEV} = K_{PHEVC}(s) \cdot \Delta f_2, \\ U_{DEG} = K_{DEGC}(s) \cdot \Delta f_3, \\ U_{ER23} = K_{ER23C}(s) \cdot \Delta f_3. \end{cases} \quad (16)$$

195 where



204
205

Figure 4. The linearized block diagram of MG₃.

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207
208

Based on inverse Laplace transformation and the frequency-domain block diagram in Figure 2, Figure 3 and Figure 4, we are able to transform the studied EI system from (1) to (16) into an explicit mathematical control system:

209

$$\begin{cases} \dot{x} = Ax + Bu, \\ y = Cx + Du, \end{cases} \quad (17)$$

210

where x is state vector, y is output vector and u is control output, expressed as:

211

$$x = [\Delta P_{ES} \quad P_{PG} \quad \Delta f_1 \quad P_{HP} \quad P_{PHEV} \quad P_{ER12} \quad \Delta f_2 \quad P_{DEG} \quad P_{ER23} \quad \Delta f_3]',$$

212

$$y = [\Delta f_1 \quad \Delta f_2 \quad \Delta f_3]',$$

213

$$u = [\Delta P_{MTC} \quad \Delta P_{ESC} \quad U_{ER12} \quad U_{HP} \quad U_{PHEV} \quad U_{DEG} \quad U_{ER23}]'.$$

214

The EI system (16) is a multi-input-multi-output (MIMO) control system with the nominal plant G and the controller K .

216

In [22], it is pointed out that various topologies of EI (e.g., series-shaped, annular-shaped, star-shaped, etc.) can be formulated into mathematical systems in forms of (17). Hence, we emphasize that the investigation to series-shaped EI and the obtained results can be extended and applied into generalized EI scenarios.

220

3. Problem Formulation and Solution

221

In this section, the EI system robustness issue is formulated as the structure specified mixed H_2/H_∞ control problem, whereas the operation cost management issue in EI is formulated as a multi-objective optimization problem. We consider such mixed robust and optimal control targets simultaneously, and we solve this control problem via PSO algorithm [31].

225

3.1. Robust Control for EI

226

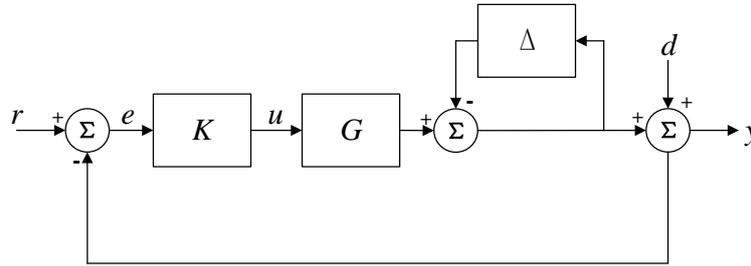
For a practical system, parameter measurement error and various power oscillation are inevitable, which brings system uncertainties [25] [26]. Besides, power generated by PVs depends heavily on the condition of light intensity and power generated by WTGs depends heavily on the condition of wind power. Moreover, varieties of power consumption devices can change the dissipation of power. Thus, external disturbance to the system shall be taken into consideration when designing robust controllers.

232

Consider a MIMO control system with external disturbances and system uncertainties, nominal plant of the studied EI is denoted as G , and K represents the proposed controller. $r(t)$, $e(t)$, $u(t)$, $d(t)$ and $y(t)$ stand for reference input, tracking error, control output, external disturbance and

233
234

235 system output, respectively. Inverse output multiplicative uncertainty [35], denoted as Δ , is utilized
 236 to model system uncertainties. System robustness and tracking performance are formulated as H_∞
 237 and H_2 performance, respectively. The structure specified mixed H_2/H_∞ control system is shown in
 238 Figure 5.



239
 240 **Figure 5.** The control system of the studied EI with external disturbance and system uncertainties.

241 Based on the small gain theorem [36], a system with multiplicative uncertainties is stable if and
 242 only if (18) holds:

$$243 \quad \|\Delta \cdot (I + GK)^{-1}\|_\infty < 1, \quad (18)$$

244 where $\|\cdot\|_\infty$ refers to the usual $\mathcal{L}_\infty[0, \infty)$ norm. So, we have

$$245 \quad \|\Delta\|_\infty < \frac{1}{\|(I + GK)^{-1}\|_\infty}. \quad (19)$$

246 Base on (19), the size of the system uncertainties is obtained by $1/\|(I + GK)^{-1}\|_\infty$, which also
 247 implies the robust stability margin against the system uncertainties. Hence, the controlled system's
 248 robust stability is maximized when $\|(I + GK)^{-1}\|_\infty$ is minimized. The robust H_∞ control objective
 249 function is formulated as J_∞ :

$$250 \quad J_\infty = \|(I + GK)^{-1}\|_\infty. \quad (20)$$

251 In addition to robust stability and disturbance attenuation, tracking performance should be
 252 optimized as well [37]. The objective function of tracking error is formulated as the integral of the
 253 squared error:

$$254 \quad J_e = \int_0^\infty e'(t)e(t)dt = \|E(s)\|_2^2. \quad (21)$$

255 where $\|\cdot\|_2$ stands for the usual $\mathcal{L}_2[0, \infty)$ norm, and $e(t) = r(t) - y(t)$ is the tracking error, figured
 256 out by the inverse Laplace transformation of $E(s)$ with $\Delta = 0$ and $d(t) = 0$:

$$257 \quad E(s) = (I + GK)^{-1}R(s). \quad (22)$$

258 Thereby, considering system robustness, the structure specified mixed H_2/H_∞ control objective
 259 function is obtained by J_1 given as follows,

$$260 \quad J_1 = J_e + J_\infty. \quad (23)$$

261 3.2. Operation Cost Optimization

262 The operation cost of the studied system includes varieties of aspects among which three
 263 objective functions are identified below.

264 The first objective is to regulate the power transmission between every two connected MGs to a
 265 relatively low level. According to the bottom-up principle for EI, the autonomous power balance in
 266 each MG shall be achieved preferably. Equivalently, power transmission P_{ER12} and P_{ER23} are
 267 expected to be kept within a relatively small amount. According to the linearized block diagrams of
 268 MG_2 and MG_3 , the objective function can be formulated as J_{Trans} :

$$J_{Trans} = \left\| \frac{b_{ER12}}{1 + T_{ER12S}} K_{ER12C} \right\|_2^2 + \left\| \frac{b_{ER23}}{1 + T_{ER23S}} K_{ER23C} \right\|_2^2. \quad (24)$$

The second objective function is focused on reducing the purchasing cost of electricity from PG. Normally, the pricing of electricity fluctuates according to a number of factors; see, e.g., [38]. To illustrate, when the load power consumption is larger than power generation, the electricity price goes up, and vice versa [39,40]. Customers usually spontaneously consume more electricity when the price is at a relatively low level. If a MG relies heavily on power exchange with PG to maintain its operation, it will not only violate the energy management principles of the EI, but also lead to expensive electricity purchasing cost. Such cost is determined by the electricity price and the amount of power transmitted from PG to MG. Normally, the electricity price varies over time by hours [41]. In this article, we focus on a time slot no more than one hour. The electricity price is assumed to be constant in the case studies. The objective function is formulated as the 2-norm square of the product of electricity price and power transmitted from PG to MG₁:

$$J_{Cost} = \left\| Price_e \cdot \frac{\sin\left(\frac{2\pi f_0}{s}\right)}{X_{PG}} \right\|_2^2, \quad (25)$$

where $Price_e$ is the electricity price based on real-time electricity market.

The third objective function aims at reducing the additional cost involved by all the controllers utilized in the studied EI system. Although a stronger controller may lead to better performance, the probability of over-control is greatly increased. The situation of over-control will bring additional cost for the operation of EI. The cost function J_{ctl} is utilized to estimate the cost involved by the controllers,

$$J_{ctl} = \sum_{k \in \Omega} \|k\|_2^2, \quad (26)$$

where Ω is the set of all the controllers in the studied EI system. According to Section 2, we have $\Omega = \{K_{ESC}, K_{MTC}, K_{HPC}, K_{PHEVC}, K_{DEGC}, K_{ER12C}, K_{ER23C}\}$. By minimizing J_{ctl} , the situation of over-control can be avoided effectively.

Taking three objective functions (24)-(26) and the preference of decision maker into consideration, the system operation cost function is formulated by:

$$J_2 = \omega_1 J_{Trans} + \omega_2 J_{Cost} + \omega_3 J_{ctl}, \quad (27)$$

where ω_1 , ω_2 and ω_3 are weighting coefficients.

3.3. The Mixed Control Objective

The mixed control target is described by the sum of the structure specified mixed H_2/H_∞ control objective function and the system cost optimization control objective, defined as

$$J = J_1 + J_2. \quad (29)$$

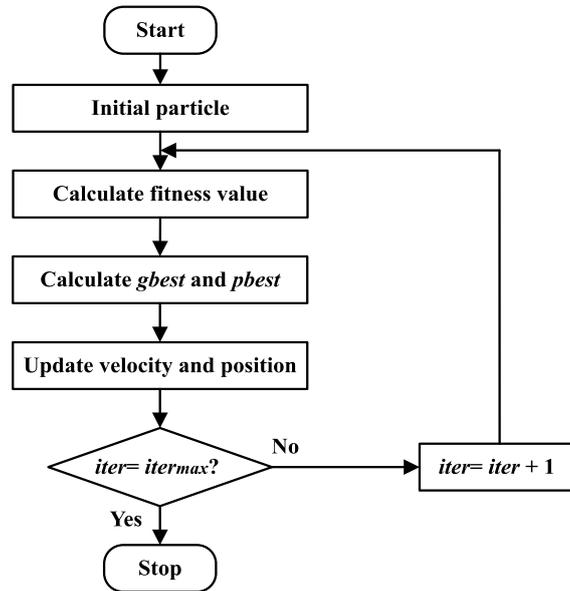
In this paper, our control target is to minimize J , subject to:

$$\begin{cases} K_{Pmin} < K_P < K_{Pmax}. \\ K_{Imin} < K_I < K_{Imax}. \end{cases} \quad (30)$$

In (30), $K_P \in \Phi_P$ and $K_I \in \Phi_I$. Φ_P is the set of all the proportion parameters, and $\Phi_P = \{K_{PES}, K_{PMT}, K_{PER12}, K_{PHP}, K_{PPHEV}, K_{PDEG}, K_{PER23}\}$. Φ_I is the set of all the integral parameters, and $\Phi_I = \{K_{IES}, K_{IMT}, K_{IER12}, K_{IHP}, K_{IPHEV}, K_{IDEG}, K_{IER23}\}$. K_{Pmin} and K_{Pmax} are the minimum and maximum parameters of the proportion part of the controllers; K_{Imin} and K_{Imax} are the minimum and maximum parameters of the integral part of the controllers.

3.4. Solution to the Studied Control Problem

308 It is notable that the control problem described in (29)-(30) can be solved by PSO algorithm [51].
 309 The flowchart of PSO algorithm is shown in Figure 6. The simulation results are demonstrated in the
 310 next section.



311
 312 **Figure 6.** The flowchart of PSO algorithm.

313 **4. Simulation Results and Analysis**

314 In this section, some simulation results and analysis are given to verify the effectiveness of the
 315 proposed controller compared with conventional ones.

316 *4.1. Simulation Results under the Proposed Controller*

317 According to real engineering practice, system parameters are given in Table 1. For tracking
 318 performance, the reference input $R(s)$ in (22) is chosen to be $1/(s + 5)$. The parameters of PSO
 319 algorithm are: swarm size = 50; maximum iteration = 30; $c_1 = 0.2$; $c_2 = 0.2$; $w_{min} = 0.4$ and $w_{max} =$
 320 0.9. According to the simulation results in Figure 7, the optimized objective function value is 18.3267.

321 The proposed mixed H_2/H_∞ controller is:

322
$$\begin{cases} K_{ESC}(s) = 0.1246 + 0.2710/s, \\ K_{MTC}(s) = 0.3056 + 0.4100/s, \\ K_{ER12C}(s) = 0.4206 + 0.2710/s, \\ K_{HPC}(s) = 0.6666 + 0.2571/s, \\ K_{PHEVC}(s) = 0.3326 + 0.2948/s, \\ K_{DEGC}(s) = 0.1000 + 0.1000/s, \\ K_{ER23C}(s) = 0.7008 + 0.3066/s. \end{cases}$$

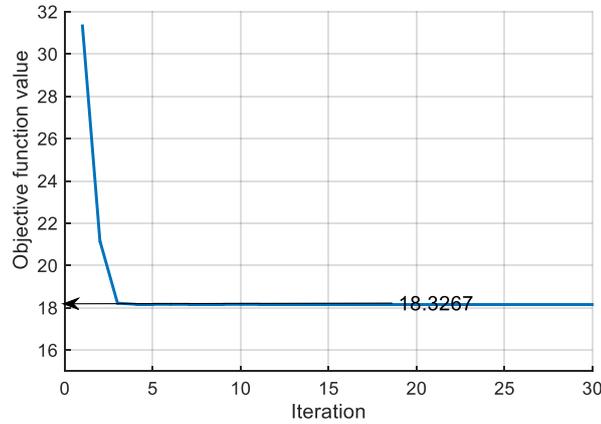
323 As is shown in Figure 8, the power generation by PVs and WTGs as well as the power
 324 consumption of loads in the studied EI system are assumed to be random in the investigated time
 325 period.

326 **Table 1.** System parameters.

Parameters	Value	Parameters	Value	Parameters	Value
$M_1(pu/s)$	10	K_{ES}	100	K_{MT}	0.04
$D_1(pu/Hz)$	1	$T_{ES}(s)$	60	$T_{DEG}(s)$	2
$M_2(pu/s)$	15	b_{ER12}	10	b_{ER23}	10
$D_2(pu/Hz)$	2	$T_{ER12}(s)$	1.15	$T_{ER23}(s)$	1.15

$M_3(pu/s)$	20	X_{PG}	0.072	$T_{BES}(s)$	0.15
$D_3(pu/Hz)$	1.5	$f_0(Hz)$	50	$T_{FES}(s)$	0.12
K_{HP}	10	K_{PHEV}	10		
$T_{HP}(s)$	0.2	$T_{PHEV}(s)$	0.3		

327

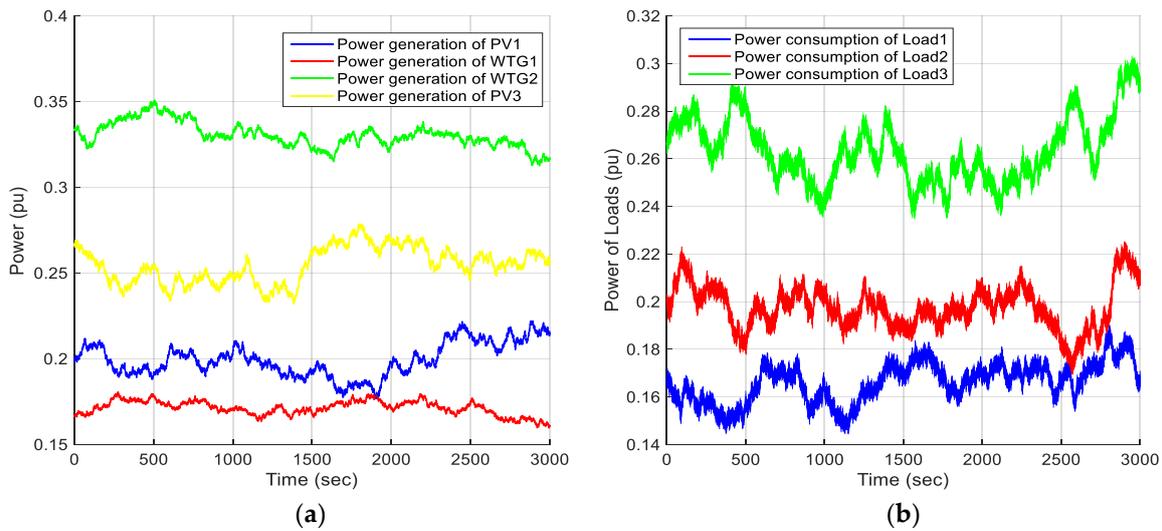


328

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Figure 7. Objective function value.

330



331

Figure 8. Local power generation and consumption. (a) Power generation of PVs and WTGs; (b) Power consumption of loads.

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336

The effect of the proposed method is compared with that of the conventional ones. Conventional methods include using only robust control which minimizes J_1 in (23) subject to (30) and using only optimal control which minimizes J_2 in (27) subject to (30).

337

4.2. Comparing the Proposed Controller with the Optimal Controller

338

339

First, let the conventional method be only using optimal control strategies which minimizes J_2 in (27) subject to (30).

340

341

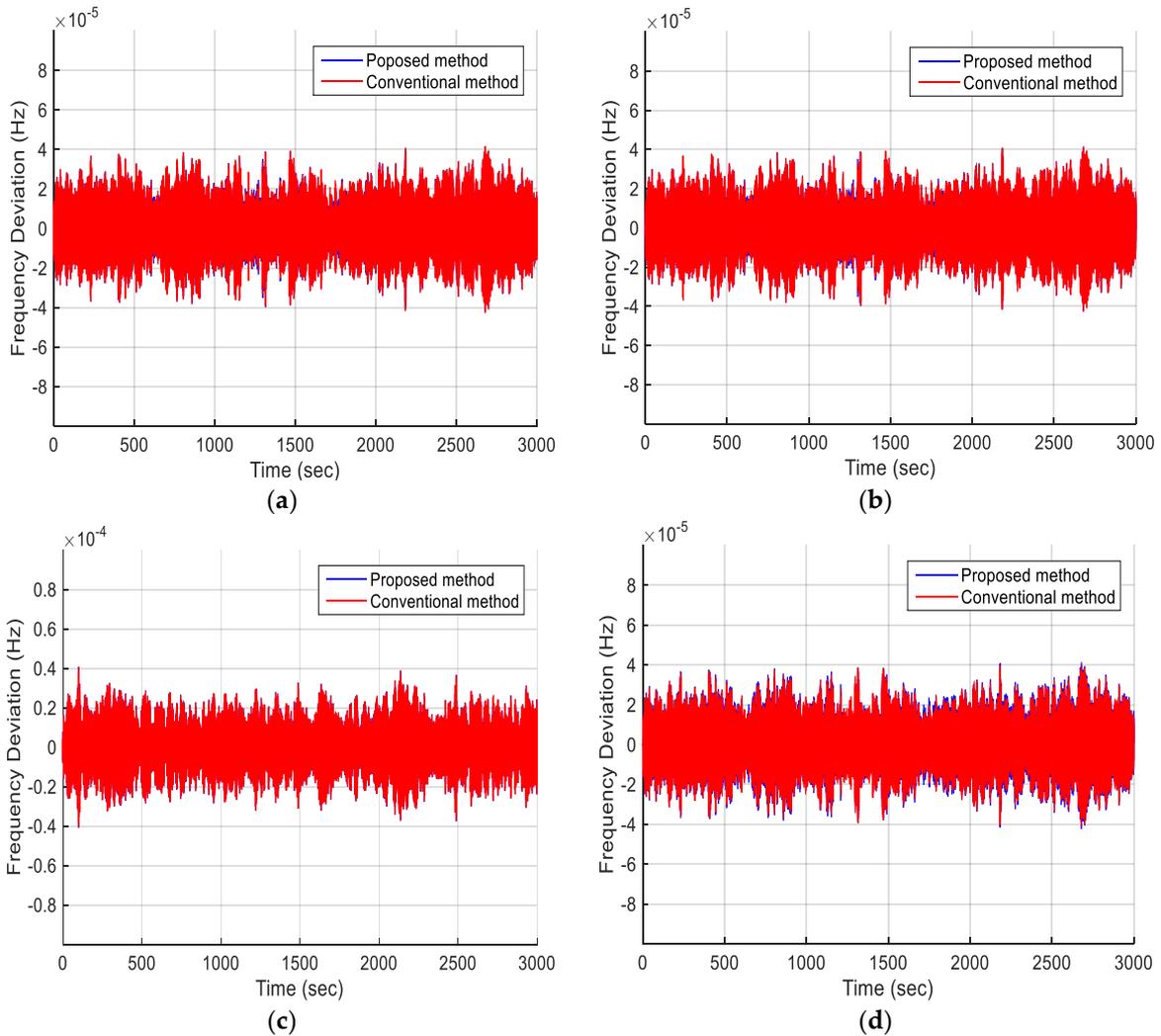
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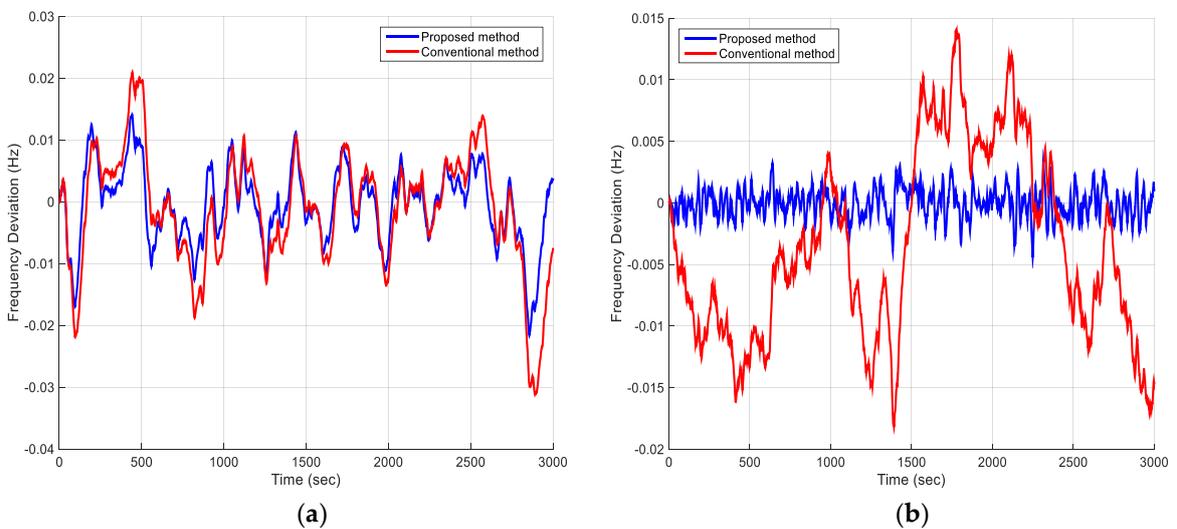
344

The controlled frequency deviation of MG_1 obtained by both the proposed method and the conventional method are illustrated in Figure 9 including the following four situations: (a) without external disturbance or system parameter uncertainties, (b) with external disturbance only, (c) with system parameter uncertainties only, (d) with both external disturbance and system parameter uncertainties. The frequency deviation of MG_1 is relatively small, and the difference of the control

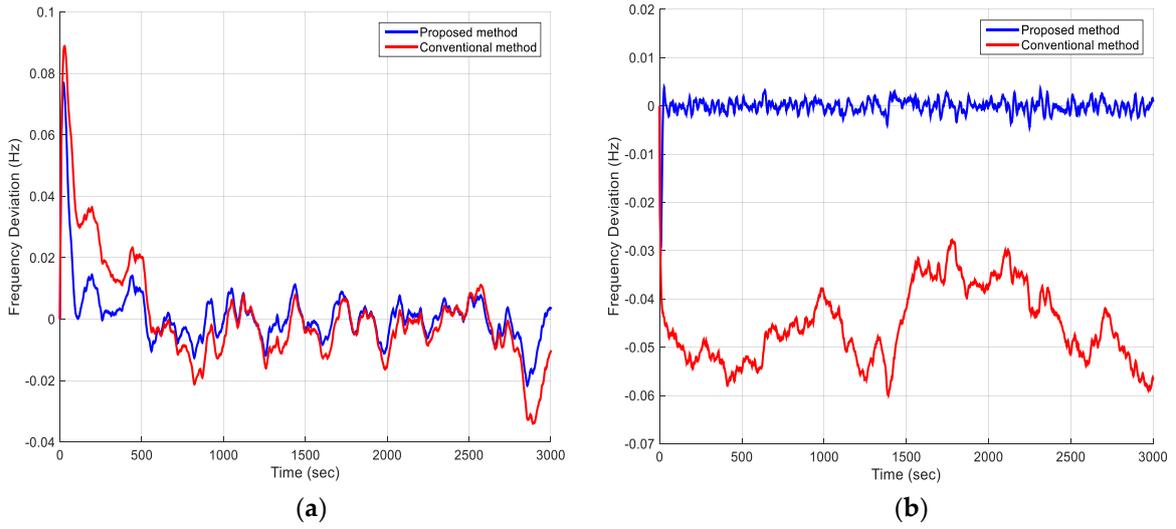
345 effect of the proposed method and the conventional method is not obvious, which are due to the
 346 connection of MG_1 to PG.



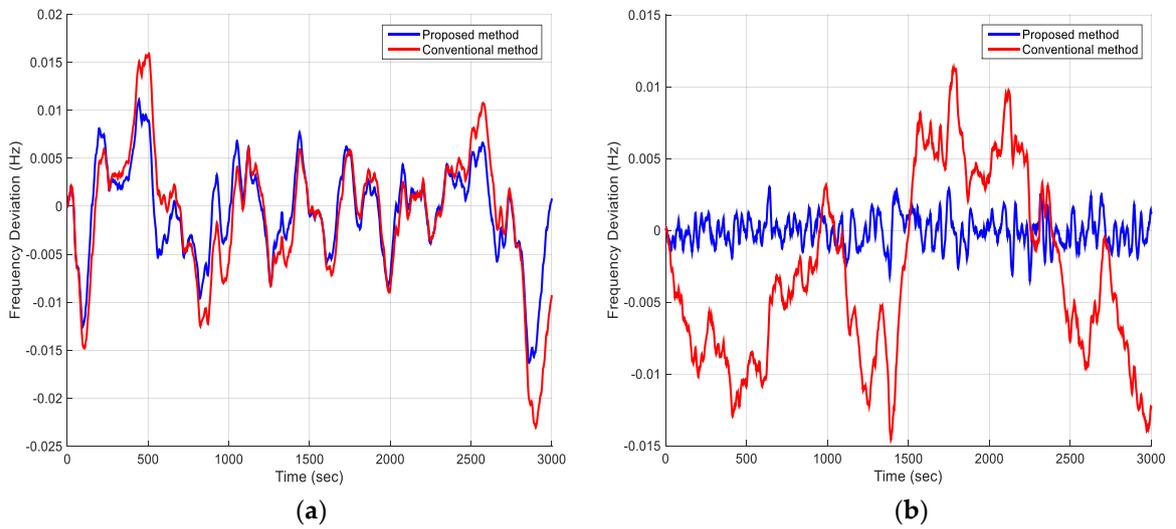
347 **Figure 9.** Frequency deviation of MG_1 : (a) Without disturbance or uncertainties; (b) With external
 348 disturbance; (c) With system parameter uncertainties; (d) With external disturbance and system
 349 parameter uncertainties.



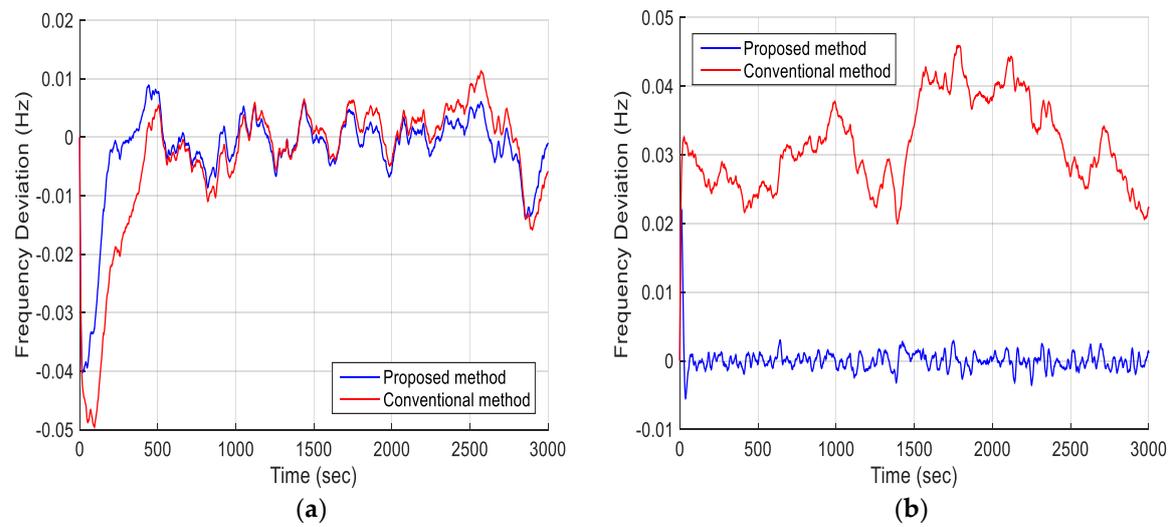
350 **Figure 10.** Frequency deviation without disturbance or uncertainties: (a) MG_2 ; (b) MG_3 .



351 **Figure 11.** Frequency deviation with external disturbance: (a) MG_2 ; (b) MG_3 .



352 **Figure 12.** Frequency deviation with system parameter uncertainties: (a) MG_2 ; (b) MG_3 .



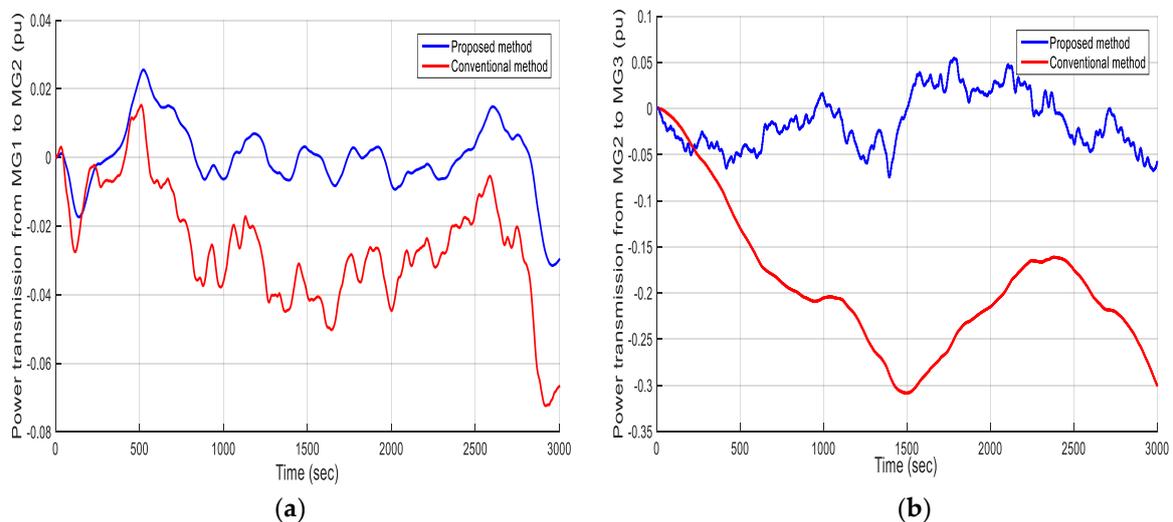
353 **Figure 13.** Frequency deviation with external disturbance and system parameter uncertainties: (a)
354 MG_2 ; (b) MG_3 .

355 Frequency deviation of MG_2 and MG_3 without disturbance or uncertainties are illustrated in
 356 Figure 10. Obviously, the proposed method can stabilize the frequency of AC bus in MG_2 and MG_3
 357 more efficiently. When the external disturbance is considered, according to Figure 11, the proposed
 358 method has several advantages: the response speed is faster, the overshoot is smaller, and the
 359 transition period is shorter than the conventional method. When the system parameters increase by
 360 50%, the frequency deviations of MG_2 and MG_3 are illustrated in Figure 12. The results show the
 361 effectiveness of the proposed controller. Moreover, under both external disturbance and system
 362 uncertainties, the studied EI system shows better performance with the proposed method, as is
 363 shown in Figure 13.

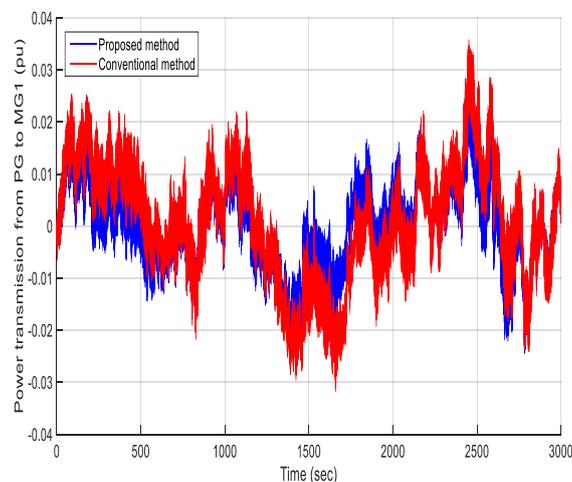
364 4.2. Comparing the Proposed Controller with the Robust Controller

365 Second, let the conventional method be only using robust control strategies J_1 in (23) subject to
 366 (30).

367 Figure 14 shows the power transmission between two adjacent MGs under the proposed
 368 controller and the conventional robust controller. Power transmission between PG and MG_1 is
 369 illustrated in Figure 15. It is obvious that using the proposed method, the transmission power
 370 between two adjacent MGs and that between PG and MG_1 can be reduced effectively.
 371



372 **Figure 14.** Power transmission between two adjacent MGs. (a) Power transmission between MG_1 and
 373 MG_2 ; (b) Power transmission between MG_2 and MG_3 .



374 **Figure 15.** Power transmission between PG and MG_1 .
 375

376 5. Conclusions

377 In this paper, a class of novel robust and optimal controller design of dynamical series-shaped
378 EI system has been presented. The robustness and operation cost optimization of the EI system are
379 considered simultaneously. PSO algorithm is applied to optimize the parameters of the proposed
380 controller. Simulations show the effectiveness of the proposed method. For our future research, EI
381 system modelling shall be more authentic and complicated, and the system communication time
382 delay shall be taken into consideration.

383 **Author Contributions:** The work presented here was carried out through the cooperation of all authors.
384 Haochen Hua and Junwei Cao conceived the scope of the paper; Chuantong Hao and Yuchao Qin conceived the
385 analysis and performed the simulations; Haochen Hua and Chuantong Hao wrote the paper; Junwei Cao
386 acquired the funding and performed revisions before submission. All authors read and approved the manuscript.

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